

PERFORMANCE BASED FLIGHT SYSTEMS BRANCH

A056, Data Link Communications



Data Link Communications Compliance Guide

Version: 03.18B



PERFORMANCE BASED
FLIGHT SYSTEMS BRANCH



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Document Changes

| Version | Date | Description of Change |
|---------|-------------------|--|
| 10.17 | October 6, 2017 | Initial Issue of the Data Link Compliance Guide |
| 01.18 | January 24, 2018 | <ul style="list-style-type: none"> • Added tables for change log and summary of document reference numbers. • Changed wording for SOC, EQP and CSP requirements. • Added two appendices: Appendix: A Flight Plan Com Descriptors Appendix: B Quick Website Navigation Guide |
| 02.18 | February 6, 2018 | <ul style="list-style-type: none"> • Added note to MON-3 • Added EQP-6 • Corrected SOC-2 and SOC-3 • Added note to EQP-2 |
| 02.18B | February 21, 2018 | <ul style="list-style-type: none"> • Changed AC 20-140 versions for SOC-2 and SOC-3 • Added "Letter attachment check" • Moved page 15 to page 5 with edits |
| 03.18 | March 5, 2018 | <ul style="list-style-type: none"> • Added pages to document changes • SOC-1: deleted "operators" from paragraph • SOC-1: added "+" to FANS 1/A • TNG-2: added "Yes" to Previous A056 Only column |
| 3.18A | March 16, 2018 | <ul style="list-style-type: none"> • Change the wording of SOC-2 • Corrections to page iii • RSP in bold, App C • 2 bullets remove from FLP-1 • Table references corrected on page 17 |

| Version | Date | Description of Change |
|---------|----------------|--|
| 3.18B | March 27, 2018 | <ul style="list-style-type: none"> • Updated the instructions • Changed table titles • Added application types to general information • Created a summary of data link requirement by operations • TNG-2 added “and/or” • Deleted column: “Previous A056” • Several edits throughout the document |

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Section 1: Introduction

This compliance guide was developed by the Federal Aviation Administration (FAA) Flight Technologies and Procedures Division (AFS-400) to provide operators with an organized method for submitting required content for an A056 data link authorization. Use of this guide expedites the application process because it condenses into one location the information required for data link communications. Operators adhering to this guidance by supplying the requested documentation will significantly reduce the application processing time. This document may be used for the following:

1. New applications
2. Operators with a current A056 upgrading to conduct Performance-based Communication and Surveillance (PBCS) and/or domestic data link operations (See [AC 90-117](#))
3. Operators with a current A056 who are not upgrading but need to submit information for the new A056 template format.
4. Operators with a current A056 adding identically equipped aircraft

This document uses the term “Principal Inspector (PI)” which may be a Principal Operations Inspector (POI), Principal Avionics Inspector (PAI) or Principal Maintenance Inspector (PMI). The use of “operator” refers to an operator, certificate holder, program manager, and operator/company.

The overarching guidance for data link approval is in Advisory Circular ([AC 90-117](#), *Data Link Communication*). For airworthiness guidance, refer to [AC 20-140](#), *Guidelines for Design Approval of Aircraft Data Link Communication Systems Supporting Air Traffic Services (ATS)*. For new applications, operators should schedule a pre-application meeting or teleconference with your Flight Standards Office (FS).

We appreciate any feedback to improve this compliance guide.

Contact AFS-470 by calling: (202) 267-8847

Instructions

1. All applications must use the fill-in-the-blank portion of this guide (pages 4-6). Operators with an existing A056 authorization who do not want to upgrade only need to send the fill-in-the-blank portion with a letter explaining your intent not to upgrade. It is not necessary to send any attachments.
2. For new applications or upgrades from a current A056 authorization, review [Tables 1](#) and determine your aircraft’s overall eligibility per operation. Also, determine the required attachment items per desired upgrade column (e.g. U.S. Domestic) in [Tables 2-4](#). Respond to the applicable attachment items for a desired upgrade column as indicated by an “X” or “As Required”. With each attachment, include the corresponding reference number (e.g. SOC-1) next to each excerpt (screen shot or copied text) and include the document title, page number and paragraph number. If an item is not applicable, provide a brief explanation as to why it does not apply (e.g. “Our aircraft do not use EFB data link communication integration”).
3. Operators with an A056 authorization adding identically equipped aircraft should provide their PI with a



request letter stating the aircraft is identically equipped as their previously approved aircraft. Include the AFM or change order signed off per serial number for each new aircraft.

4. If aircraft are not identically equipped, then make a separate application for each aircraft or fleet.
5. Place your attachments in a single Microsoft Word (MS) file with any screen captures or direct wording from applicable manuals and then convert the MS Word file using the “Save as” function to a PDF format. Use the naming convention described on [page 7](#) of this guide to name your file(s). If possible, submit your application and attachments in a single PDF format by combining PDF files. If you do not have the ability to combine the two PDF files, then submit your application (Data Link Compliance Guide) and your attachment file as two PDF documents using the naming conventions on [page 7](#). The use of highlights, outlines, tables and/or hyper-links for your supporting documentation (attachments) will greatly reduce the application process time.
6. For the attachments, please include **only the applicable page or paragraph to show compliance**. Attaching irrelevant documentation other than the requested page/paragraph to show compliance, only delays the application process.

Table 1: Summary of Upgrade Requirements by Operation:

| Options | Operation | Requirements |
|---------|---|---|
| 1. | U.S. Domestic CPDLC-DCL* (Departure Clearance) | <ul style="list-style-type: none"> • FANS 1/A (+) VDL Mode 0/A or VDL Mode 2 |
| 2. | U.S. Domestic CPDLC-DCL and En Route clearance* | <ul style="list-style-type: none"> • FANS 1/A (+) • VDL Mode 2 • TSO-160a or equivalent documentation (i.e. ARINC 631-5) • “Push to load” capability enabling the pilot to incorporate received routing changes (e.g., uplink messages (UM)79, UM80, and UM83) into the FMS supported by B2 and FANS 1/A (+) operations. |
| 3. | PBCS(Oceanic/Remote Operations) only | <ul style="list-style-type: none"> • FANS 1/A+ (latency required indicated by “+” symbol) • CPDLC Performance must be RCP 240 • ADS-C Performance must be RSP 180 |
| 4. | U.S. Domestic CPDLC-DCL and En Route and PBCS | <ul style="list-style-type: none"> • FANS 1/A+ • VDL Mode 2 • TSO-160a or equivalent documentation (i.e. ARINC 631-5) • “Push to load” capability enabling the pilot to incorporate received routing changes (e.g., uplink messages (UM)79, UM80, and UM83) into the FMS supported by B2 and FANS 1/A (+) operations. • CPDLC Performance must be RCP 240 • ADS-C Performance must be RSP 180 |

*Part 91 operators are not required to have a Letter of Authorization (LOA) for U.S. domestic data link operations. All operators must meet the minimum equipage requirements for data link operations in the U.S.



Summary of Reference Numbers for Attachments by Upgrade

Below is a summary of possible A056 authorizations with the required attachments for each operation indicated by an “X” or “As Required”.

Table 2: Aircraft Eligibility Summary:

| Reference Number | U.S. Domestic DCL Only | U.S. Domestic DCL and En route CPDLC | Oceanic and Remote PBCS Only | U.S. Domestic DCL, CPDLC En route, and Oceanic and Remote PBCS |
|------------------|------------------------|--------------------------------------|------------------------------|--|
| SOC-1 | * | * | X | X |
| SOC-2 | * | * | X | X |
| SOC-3 | * | * | X | X |
| EQP-1 | X | X | X | X |
| EQP-2 | X | X | | X |
| EQP-3 | | X | | X |
| EQP-4 | X | X | X | X |
| EQP-5 | X | X | X | X |
| EQP-6 | | | X | X |

*A statement of compliance (SOC) is not required for U.S. domestic data link operations. Part 91 operators are not required to have a Letter of Authorization (LOA) for U.S. domestic data link operations. All operators must meet the minimum equipment requirements for data link operations in the U.S.

Table 3: Operational Requirements Summary:

| Reference Number | U.S. Domestic DCL Only | U.S. Domestic DCL and En route CPDLC | Oceanic and Remote PBCS Only | U.S. Domestic DCL, CPDLC En route, and Oceanic and Remote PBCS |
|------------------|------------------------|--------------------------------------|------------------------------|--|
| OPS-1 | X | X | X | X |
| OPS-2 | X | X | X | X |
| OPS-3 | X | X | X | X |
| EFB-1 | As Required | As Required | As Required | As Required |
| CSP-1 | | | X | X |
| MEL-1 | X | X | X | X |
| FLP-1 | X | X | X | X |
| MON-1 | | | X | X |
| MON-2 | | | X | X |
| MON-3 | | | X | X |
| TNG-1 | 91K, 121, 125 135 | 91K, 121, 125 135 | 91K, 121, 125 135 | 91K, 121, 125 135 |
| TNG-2 | | | 91 Only | 91 Only |

Table 4: Additional Information Summary:

| Reference Number | U.S. Domestic DCL Only | U.S. Domestic DCL and En route CPDLC | Oceanic and Remote PBCS Only | U.S. Domestic DCL, CPDLC En route, and Oceanic and Remote PBCS |
|------------------|------------------------|--------------------------------------|------------------------------|--|
| POI-1 | As Required | As Required | As Required | As Required |
| DOC-1 | X | X | X | X |
| DOC-2 | X | X | X | X |
| DOC-3 | X | X | X | X |
| DOC-4 | X | X | X | X |
| DOC-5 | X | X | X | X |
| DOC-6 | X | X | X | X |
| DOC-7 | X | X | X | X |



General Information

Fill in the blanks below:

Type of Application:

No Upgrade (Template change only)

U.S. Domestic CPDLC-DCL only

U.S. Domestic CPDLC-DCL and En Route

PBCS only

U.S. Domestic CPDLC-DCL and En Route and PBCS

Adding Aircraft to existing A056

- Company/Operator Name:
- 14 CFR Part:
- Address: Suite:
- City: State: Zip Code:

Contact Information

- Contact Name:
- Contact Phone:
- Contact Email:

Aircraft/Fleet

- Make:
- Model:
- Series:



Sample Letter of Request

(Fill in the blanks below)

Refer to letter of request attachment

Company/Name:

Federal Aviation Administration (FAA)

Principal Inspector (PI) First Name:

Principal Inspector (PI) Last Name:

PI Email Address:

PI Phone:

Dear Sir/Madam,

Name/Company(FAA Designator: _____) request authorization for OpSpec/MSpec/LOA A056, Data Link Communication, operating under Part: _____ of Title 14 of the Code of Federal Regulations.

We request authorization for the following aircraft (Fleet with identical equipage and configuration):

Make: _____ Model: _____ Series: _____

Registration Number(s) (“N number”): _____ Serial Number(s): _____

Avionics:

FMS Make: _____ FMS Model: _____ FMS Series: _____

FMS Software: _____ Version: _____

FANS 1/A (+) and/or ATN:

We are sending this application and the associated attachments electronically in a PDF format for your review and approval. Our planned date to commence data link operations is on or about

(mm/dd/yyyy):

Our primary business location:

Street Address: _____ Suite: _____

City: _____ State: _____ Zip Code: _____

Name of Primary Contact:

Phone:

Email:

Please refer to attachments labeled with corresponding references numbers provided in the Data Link Communication Compliance Guide.

Sincerely,



Sample Authorization Table

Use the table below and provide your information as part of your application.

Aircraft:

Make:

Model:

Series:

Data Link System:

Make:

Model:

Series:

Software:

FMS Software:

Version Number:

Table 5: Sample Authorization Table

| INTEROP (Check all that apply) | Subnetworks (Check all that apply) | CSP | RCP | RSP | Limitations (If no limitations, type "N/A") |
|--|--|--------------------------|------------|------------|--|
| FANS 1/A (+) with "push to load" | VDL Mode 0/A VDL Mode 2 TSO C-160/ Equivalent | Rockwell-Collins (ARINC) | | | U.S Domestic CPDLC En Route Only |
| FANS 1/A (+) without "push to load" | VDL Mode 2 TSO C-160a or later/ Equivalent | SITA | | | ADS-C Only |
| ATN B1 | HFDL | Other | | | Other |
| B2 | SATCOM (Iridium) SATCOM (Inmarsat) SATCOM MTSTAT | | | | |



Naming Convention

Use the following file naming convention when submitting this document and attachments.

A056_Application_Company/Name_Date(XX_XX_XXXX)_Version_Number_(VX)

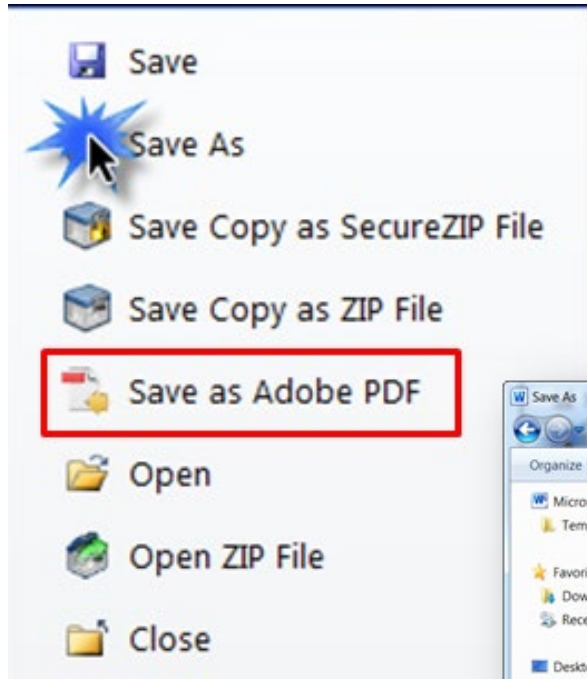
Example: A056_Application_ABCAirlines_02_29_2016_V2

Use the following file naming convention when submitting your attachments.

A056_Attachments_Company/Name_Date(XX_XX_XXXX)_Version_Number_(VX)

Example: A056_Attachments_ABCAirlines_02_29_2016_V2

Note: Version numbers are used in order for the PI to distinguished between a re-submittal of an application and the original which should be labeled beginning with (Version 1 (V1)).

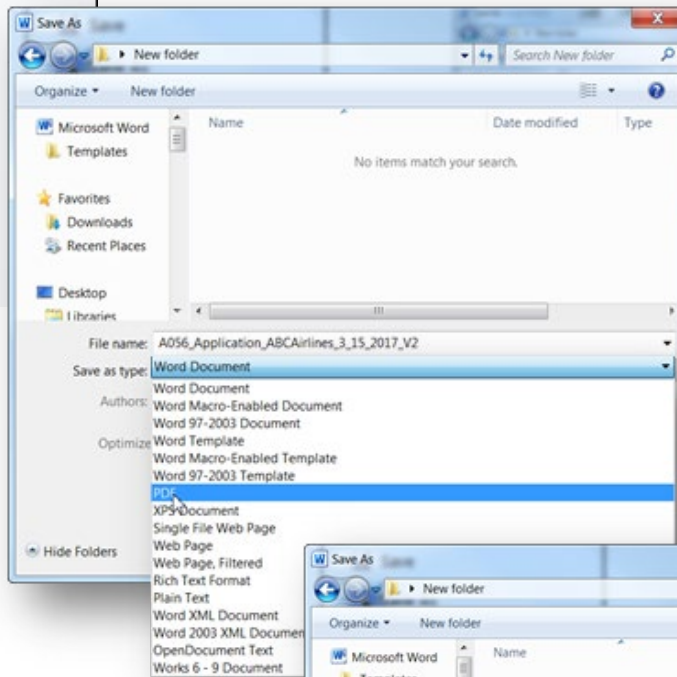


Step 1:

In MS Word, select “Save As” under File Menu or select “Save as Adobe PDF” and skip Step 2

Step 2:

Select “PDF” under “Save as type”



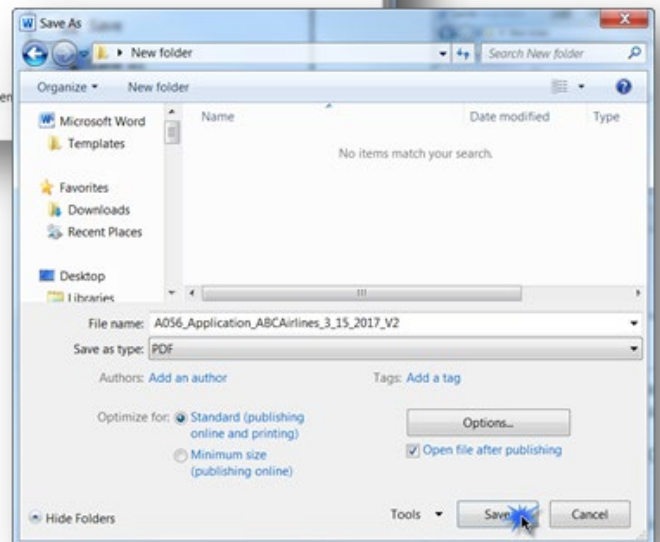
Step 3: Saving file with naming convention.

Use the following naming convention with underlines “_” as shown:

A056_Application_Your_Company_Name_Date_Version

Example:

A056_Application_ABCAirlines_02_29_2016_V2





Section
2

Section 2: Aircraft Eligibility

For each attachment, provide the necessary page(s)/paragraph(s) to establish compliance. Include the corresponding reference numbers with each attachment in a separate MS Word document which is to be converted to a PDF format. Specific airworthiness guidance is provided in [AC 20-140\(\)](#). For domestic operations only, RCP/RSP performance is not necessary and operators should select N/A in the sample table in [Table 5](#). Part 91 operators are not required to have an A056 authorization for U.S. domestic operations.

Statement of Compliance (SOC):

| Check Box | Reference Number | SOC Attachments |
|-----------|------------------|---|
| | SOC-1 | <p>Provide an OEM Statement of Compliance (SOC). The SOC must indicate interoperability (INTEROP) (See AC 90-117, p. C-3, Table C-3). This may be documentation from the aircraft manufacturer, the manufacturer of the data link system, or another party. The SOC must indicate the aircraft data link system meets the aircraft-allocated requirements for Required Communication Performance (RCP) and Required Surveillance Performance (RSP) specifications stated in the AFM, AFM Supplement, or other acceptable documentation. An example SOC is provided in Table C-3 of AC 90-117.</p> <p>Note: For a FANS 1/A+ CPDLC and ADS C aircraft system, RTCA DO 306/ EUROCAE ED 122 is equivalent to RCP 240, RCP 400, RSP 180 and RSP 400 specifications.</p> |



SOC Continued:

| Check Box | Reference Number | SOC Attachments |
|-----------|------------------|--|
| | SOC-2 | <p>Provide documentation of the subnetworks that are supported by your aircraft. The compliance statement must reference AC 20-140A or later for any of the following subnetworks:</p> <ul style="list-style-type: none"> • VDL M0/A, • VDL M2, • High Frequency Data Link (HFDL), • Inmarsat, and • Satellite communications (SATCOM) Iridium (Short Burst Data (SBD)). <p>Note: For aircraft utilizing Inmarsat (SwiftBroadband (SBB)), the SOC must reference AC 20-140C or later.</p> <p><i>(AC 90-117, p. 3-2 and p. C-3)</i></p> |
| | SOC-3 | <p>Provide documentation that your aircraft data link system meets the aircraft-allocated requirements of RCP and RSP specifications. The compliance statement should reference AC 20-140A or later revision for any of the following performance specifications:</p> <ul style="list-style-type: none"> • RCP 400 and/or RCP 240 • RSP 400 and/or RSP 180 <p>The compliance statement should reference AC 20-140C or later revision for:</p> <ul style="list-style-type: none"> • RCP 130 and/or RCP 240 and/or RCP 400 • RSP 160 and/or RSP 180 and/or RSP 400 <p>Note: Documentation of the lowest RCP and RSP value is adequate for showing compliance. For fleet aircraft, records for every tail number are not necessary provided that all the aircraft have the same data link configuration.</p> <p><i>(AC 90-117, p. 3-2 and p. C-3)</i></p> |



Equipage Attachments:

| Check Box | Reference Number | Equipage Attachments |
|-----------|------------------|--|
| | EQP-1 | <p>Provide documentation of maintenance and manufacturer/model of data link equipment installation records (AFM, Service Bulletins and Aircraft Service Changes (ASC) related to data link communications, Supplemental Type Certificates (STCs) etc.) Provide documentation of the following installed data communication equipment:</p> <ul style="list-style-type: none"> • FANS 1/A (Satellite, HF, VHF) equipment and/or ATN (VDL 2) VHF equipment • Data communications recording equipment (CVR, CVD-FR etc.) See Part 91, §91.609(j) and InFO 16004. <p><i>(AC 90-117, p. 3-1)</i></p> |
| | EQP-2 | <p>For U.S. domestic airspace en route operations, provide documentation that your aircraft is equipped with VDL M2. The VDL M2 requirement must include a tunable radio approved to TSO-C160a or later or equivalent documentation.</p> <p>Note 1: If not equipped with VDL M2, the operator may use an alternate non-VDL M2 means of compliance in coordination with their CSP(s) as per AC 90-117. <i>(AC 90-117, p. 3-3)</i></p> <p>Note 2: Equivalent documentation (e.g. OEM, regulatory) that meets the specification of tuning to more than one VDL M2 channel may also be acceptable (i.e. ARINC 631-5)</p> |
| | EQP-3 | <p>For en route U.S. domestic airspace operations, provide documentation your avionics system has “push to load” capability enabling the pilot to incorporate received routing changes (e.g., uplink message (UM)79, UM80, and UM83) into the FMS supported by B2 and FANS 1/A (+) operations. <i>(AC 90-117, p. 3-2)</i></p> |
| | EQP-4 | <p>Provide documentation that your cockpit voice recorder(s) and flight data recorder(s) are in compliance with 14 CFR Part §91.609(j), Part §121.359(k), §125.227(i), §135.151(h). FAA INFO 16004 provides additional guidance concerning the applicability of these regulations. <i>(AC 90-117, p. 1-5)</i></p> |



Equipage Attachments Continued:

| Check Box | Reference Number | Equipage Attachments |
|-----------|------------------|--|
| | EQP-5 | <p>Provide documentation of current configuration (e.g. current avionics software load); aircraft modifications (if applicable, list all Aircraft Service Changes (ASC) specific to data link communications, Service bulletins etc).</p> <p>Note: The operator must confirm any modifications did not affect the data link system, or if it was affected, affirm compliance to the associated INTEROP, subnetworks and performance standards. (<i>AC 90-117, p. 3-3</i>)</p> |
| | EQP-6 | <p>Provide documentation that your FANS 1/A system includes message latency monitoring indicated by the “+” symbol. RCP 240 requires latency monitoring.</p> |



Section
3

Section 3: Operational Requirements

For each attachment, provide the necessary page(s)/paragraph(s) to establish compliance. Include the corresponding reference numbers with each attachment.

This section includes the minimum operational requirements in the following areas:

1. Operational Procedures
2. CSP Requirements
3. MMEL/MEL
4. Flight Plans
5. Monitoring Performance and Reporting
6. Training

Operational Procedures

Establish policies and procedures for pilots and operational staff involved in data link operations and incorporate them in the appropriate operations manuals.

| Check Box | Reference Number | Operational Attachments |
|-----------|------------------|---|
| | OPS-1 | Attach operational data link procedures from the following documents: <ul style="list-style-type: none"> • AFM (page/paragraph), • Domestic data link procedures from your operations manual, and • For international/oceanic and remote airspace operations, operational data link procedures from your International Operations Manual (IOM) (See <i>AC 90-117, p. 5-2</i>) Note: Generic procedure manuals do not qualify. |
| | OPS-2 | Attach documentation of procedures for establishing and maintaining voice communications (including any required SELCAL check(s)). (<i>AC 90-117, p. 5-5</i>) Note: In-flight publications should include a listing of ATSU identifiers required for international operations. |



Operational Procedures Continued

| Check Box | Reference Number | Operational Attachments |
|-----------|------------------|---|
| | OPS-3 | Attach procedures and limitations applicable to data link communication equipment for both normal and emergency operations in compliance with AC 90-117 . (<i>AC 90-117, p. 7-3 and p. 7-4</i>) |

Electronic Flight Bag (EFB)

| Check Box | Reference Number | EFB Attachment |
|-----------|------------------|---|
| | EFB-1 | Provide documentation to show how the Electronic Flight Bag (EFB) is updated for data link operations. If not applicable, then record the reference number with “Not Applicable”. |

Communication Service Provider (CSP) Eligibility

The operator is responsible to ensure their CSP provides the minimum performance and service.

| Check Box | Reference Number | CSP Attachment |
|-----------|------------------|---|
| | CSP-1 | <p>Provide documentation of each CSP arrangement. The operator is responsible to ensure each CSP service provides the following:</p> <ol style="list-style-type: none"> 1. Failure Notification, 2. Recording data link messages, 3. CSP Integrity, 4. Compliance with CSP allocations for RCP/RSP, and 5. Adequate subnetwork coverage for the route flown. <p><i>or</i></p> <p>Provide charter membership documentation of operator and CSP. When providing documentation of charter membership, provide a screen shot of the charter stakeholders with your name included in the screen capture (See Appendix B, Becoming a Charter Member).</p> <p>Note: Operators and CSPs need only to become charter members by following the instructions at http://www.fans-cra.com/. See Appendix B of this guide.</p> <p>(<i>AC 90-117, p. 4-1 and 4-5</i>)</p> |



MEL/MMEL

| Check Box | Reference Number | MEL/MMEL Attachment |
|-----------|------------------|---|
| | MEL-1 | <p>Provide documentation of your Minimum Equipment List (MEL) and Master Minimum Equipment List (MMEL) that addresses all data communication equipment (Section 23).</p> <p>Note 1: Reference FAA Policy Letter 106, (PL-106), High Frequency (HF) Communications MMEL Requirements.</p> <p>Note 2: If MMEL has not been updated for CPDLC equipment for aircraft that are issued an STC, the operator is reminded that they must adhere to 14 CFR 91.213. (<i>AC 90-117, p. 5-1 and pp. B-1 and B-2</i>)</p> |

Flight Plans

| Check Box | Reference Number | Flight Plan Attachment |
|-----------|------------------|---|
| | FLP-1 | <p>Demonstrate the appropriate use of flight plan designators by completing a sample flight plan of a typical route your pilots fly. If your operation includes oceanic routes, provide an oceanic flight plan. Below are resources to aid in your flight planning:</p> <ul style="list-style-type: none"> • FAA Form 7233-4 • Appendix A of this guide |

Performance Monitoring

| Check Box | Reference Number | Monitoring Attachment <i>(Oceanic and Remote Operations)</i> |
|-----------|------------------|---|
| | MON-1 | Provide documentation of your data link monitoring process with procedures to address substandard performance. (<i>AC 90-117, pp. 6-1 and 6-2</i>) |
| | MON-2 | Provide documentation of procedures to report data link communication failures and/or problems. This should include contacting the appropriate Data Link Monitoring Agency (DLMA) for your area of operation. (<i>AC 90-117, p. 8-1</i>) |
| | MON-3 | <p>Provide documentation of demonstrated performance results from: https://www.faa.gov/air_traffic/separation_standards/PBCS_Monitoring/ (<i>AC 90-117, p. 6-2</i>)</p> <p>Note: If no data or insufficient data, then compliance is based on the SOC.</p> |



Training

| Check Box | Reference Number | Training Attachment |
|-----------|------------------|---|
| | TNG-1 | If you are under Part 91K, 121, 125, and/or 135, provide documentation that your training program addresses the operational practices, procedures and training items related to data link communication operations (e.g., initial, upgrade, or recurrent training for pilots, operational control personnel, and maintenance personnel). Training curricula should be in accordance with AC 90-117 , §§ 91.3 , 91.703 (a) (1) and (2) and ICAO Annex 2 (Rules of the Air), paragraph 2.5.1. (<i>AC 90-117, Chapter 7</i>) |
| | TNG-2 | If you are under Part 91, show the syllabus and/or certificate of completion of data link communications training. (<i>AC 90-117, p. 7-1</i>) |



Section 4: Additional Information

Additional PI Requested Documentation.

This section is optional and reserved for any additional information that may be requested by your Principal Inspector (PI). For each attachment, provide the necessary page(s)/paragraph(s) to establish compliance. Include the corresponding reference number with the attachment.

| Check Box | Reference Number | Additional PI Requested Documentation |
|-----------|------------------|---|
| | POI-1 | If requested, attach additional documentation requested by your PI. |

Document Review

Check each document below to indicate you are familiar with each.

| Check Box | Reference Number | Document List |
|-----------|------------------|---|
| | DOC-1 | AC 90-117 , Data Link Communications |
| | DOC-2 | AC 20-140 () Guidelines for Design Approval of Aircraft Data Link Communication Systems Supporting Air Traffic Services (ATS). |
| | DOC-3 | Global Operational Data Link (GOLD) Manual (Doc 10037), ICAO. |
| | DOC-4 | Performance-based Communication and Surveillance (PBCS) Manual (Doc 9869), ICAO. |
| | DOC-5 | State Aeronautical Information Publications (AIP). (U.S. Link) |
| | DOC-6 | State Notices to Airmen (NOTAM). (U.S. Link) |
| | DOC-7 | FAA chart supplements , Oceanic Errors Safety Bulletin (OESB) (NAT OPS Bulletins). |



Appendix A: Flight Plan Com Descriptors

Table A-1. Item 10a Flight Plan COM Descriptors

| Descriptors | System |
|-------------|----------------------------------|
| E1 | FMC WPR ACARS |
| E2 | D-FIS ACARS |
| E3 | PDC ACARS |
| J1 | CPDLC ATN VDL Mode 2 |
| J2 | CPDLC FANS 1/A HF DL |
| J3 | CPDLC FANS 1/A VDL Mode 0/A |
| J4 | CPDLC FANS 1/A VDL Mode 2 |
| J5 | CPDLC FANS 1/A SATCOM (Inmarsat) |
| J6 | CPDLC FANS 1/A SATCOM (MTSAT) |
| J7 | CPDLC FANS 1/A SATCOM (Iridium) |
| P1 | CPDLC RCP 400 |
| P2 | CPDLC RCP 240 |

Note: Part 91 operators filing “J” codes for U.S. domestic data link services must have a data link authorization to file J5–J7 in oceanic and remote continental airspace.

J1 through J7 for Controller-Pilot Data Link Communication (CPDLC) (Table B-1, Item 10a Flight Plan COM Descriptors); and P1 and P2 for RCP Performance (Table B-1).

Example. As a flight plan example, use the following:

If Actual Communications Performance (ACP) meets at least RCP 240 at 95 percent and Actual Surveillance Performance (ASP) meets at least RSP 180 at 95 percent, then the operator approved for RCP 240/RSP 180 may file the following:

- Field 10: “P2.”
- Field 18: “SUR/RSP180.”



In Item 10a of the flight plan, operators should insert one of the descriptors, P1-P2, as appropriate, listed in Table A-1, to identify an aircraft’s RCP capability.

Table A-2. Item 10b Flight Plan COM Descriptors

| Descriptors | System |
|-------------|----------------------------------|
| D1 | ADS-C with FANS 1/A capabilities |
| G1 | ADS-C with ATN capabilities |

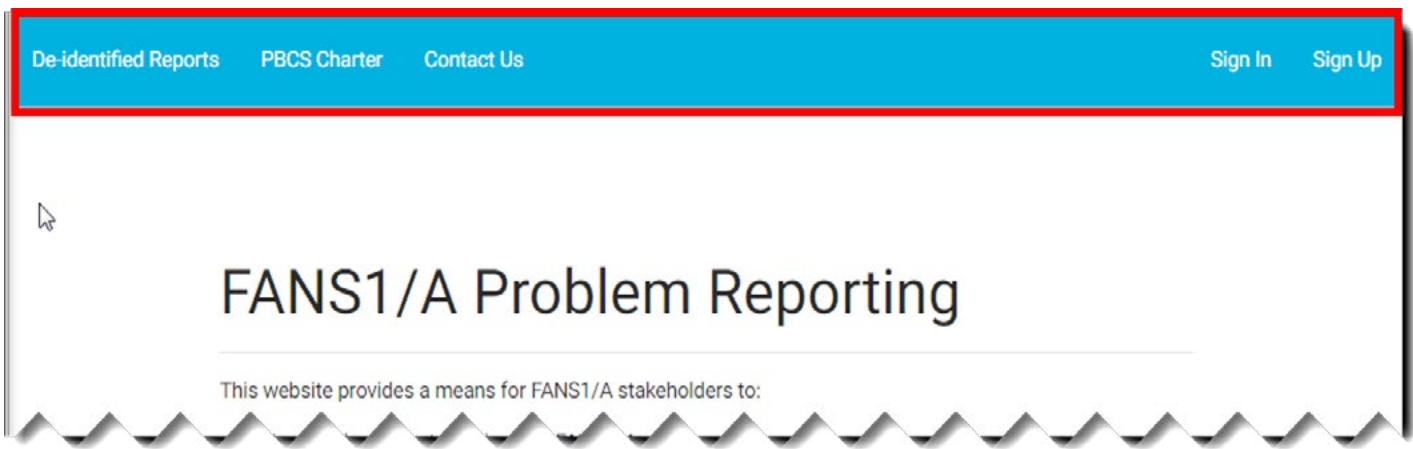
In Item 10b of the flight plan, operators should insert one of the descriptors, D1 and G1, as appropriate, listed in Table A-2, to identify an aircraft’s RSP capability



Appendix B: Quick Website Navigation Guide

For FANS 1/A Problem reporting and/or charter membership go to: <http://www.fans-cra.com/>. This appendix provides screen shots for navigating the website to report a data link problem and/or charter membership.

Home Page Menu



The Home Page header has five user function tabs:

1. De-identified Reports
2. PBCS Charter
3. Contact Us
4. Sign In – Note: current users of the ISPACG-CRA/NAT DLMA/ FIT-ASIA website please continue to use your existing username/password. Do not sign up again.
5. Sign Up



De-Identified Reports

The De-identified Reports list is controlled by the appropriate regional Central Reporting Agency/ Data Link Monitoring Agency (CRA/DLMA).

Reports displayed in this list have been assigned to the list by the CRA/DLMA.

A user may download the de-identified reports in EXCEL format using the DOWNLOAD button

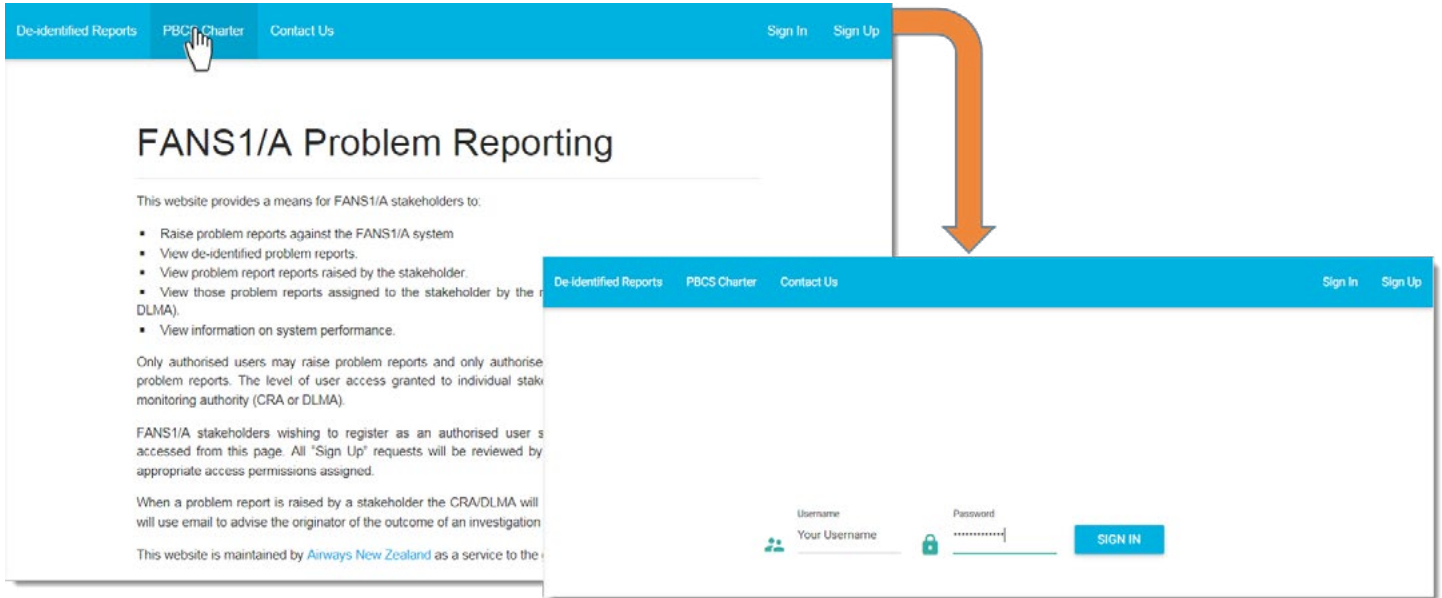
The screenshot shows the 'FANS1/A Problem Reporting' website interface. At the top, there is a navigation bar with 'De-identified Reports', 'PBCS Charter', and 'Contact Us' on the left, and 'Sign In' and 'Sign Up' on the right. Below the navigation bar, the main content area is titled 'FANS1/A Problem Reporting' and contains a list of de-identified reports. A 'DOWNLOAD' button is visible in the top right corner of the report list area. A large green watermark 'Excel spreadsheet' is overlaid on the bottom half of the report list.

| CRA Ref | Region | Status | Type | Title |
|---------|--------|--------|---------------------------------------|---|
| 2529-MM | NAT | Closed | AIR - Procedural - Flight Crew Action | A/C Queried CPDLC Re-route but None was Uplinked |
| 2512-MM | NAT | Closed | AIR | Unable to ACCEPT CPDLC Message |
| 2508-SH | IPACG | Active | FIT | Multiple messages received from Avionics |
| 2506-MM | NAT | Closed | TIG | Wrong navaid loaded from a UM79 route change message |
| 2492-MM | IPACG | Closed | FIT | Climb clearance delivered late (~24 hours) to incorrect (next+1) fl |
| 2485-SH | NAT | Closed | TIG | Aircraft logged on LZZZ unsuccessfully |
| 2467-MM | NAT | Closed | TIG | Position Reports Not Delivered |
| 2460-RP | FIT | Open | ASIA | FANS PROBLEM REPORT |
| 2467-MM | NAT | Closed | TIG | FANS PROBLEM REPORT |
| 2467-MM | NAT | Closed | TIG | Unexpected Point Included in ADS-C Report and Possible Out of S |
| 2498-MM | NAT | Closed | TIG | ADS Gave Incorrect Current Position Timestamp and Appeared Slow |
| 2487-SH | NAT | Closed | TIG | Aircraft Did Not Send ACK for ADS-C Deviation Contract |
| 2487-SH | NAT | Closed | TIG | CPDLC - Spurious messages received by aircraft |
| 2487-SH | NAT | Closed | TIG | CONFIRM - SIGNED ROUTE Hoplink Anomaly (Blank Report with SEND |
| 2487-SH | NAT | Closed | TIG | ATC Hoplink issued in error by |
| 2487-SH | NAT | Closed | TIG | CONFIRM - SIGNED ROUTE Anomaly |
| 2487-SH | NAT | Closed | TIG | Pilot sought clarification for a [UM79] message. |
| 2487-SH | NAT | Closed | TIG | Intermittent Connection |
| 2487-SH | NAT | Closed | TIG | B787s send reject (SMI = REJ) messages in response to uplinks |
| 2487-SH | NAT | Closed | TIG | CPDLC Connectivity Issues while on a 1/2 Degree Track |
| 2487-SH | NAT | Closed | TIG | JACK-N-TOSS EVENT |
| 2487-SH | NAT | Closed | TIG | Multiple duplicate CPDLC position reports received from B77W |
| 2487-SH | NAT | Closed | TIG | MAS received but no downlinks received for aircraft |
| 2487-SH | NAT | Closed | TIG | Aircraft received CPDLC message not sent by ATC - A332 |
| 2487-SH | NAT | Closed | TIG | MAS received but no downlinks received for aircraft |
| 2487-SH | NAT | Closed | TIG | ADS-C Report Missing Requested Data |
| 2487-SH | NAT | Closed | TIG | RCL Text Appears Twice in A620 Header |
| 2487-SH | NAT | Closed | TIG | Incorrect ADS-C estimate - A332 |
| 2487-SH | NAT | Closed | TIG | FANS PROBLEM REPORT |
| 2487-SH | NAT | Closed | TIG | CPDLC Connectivity Issues while on a 1/2 Degree Track |



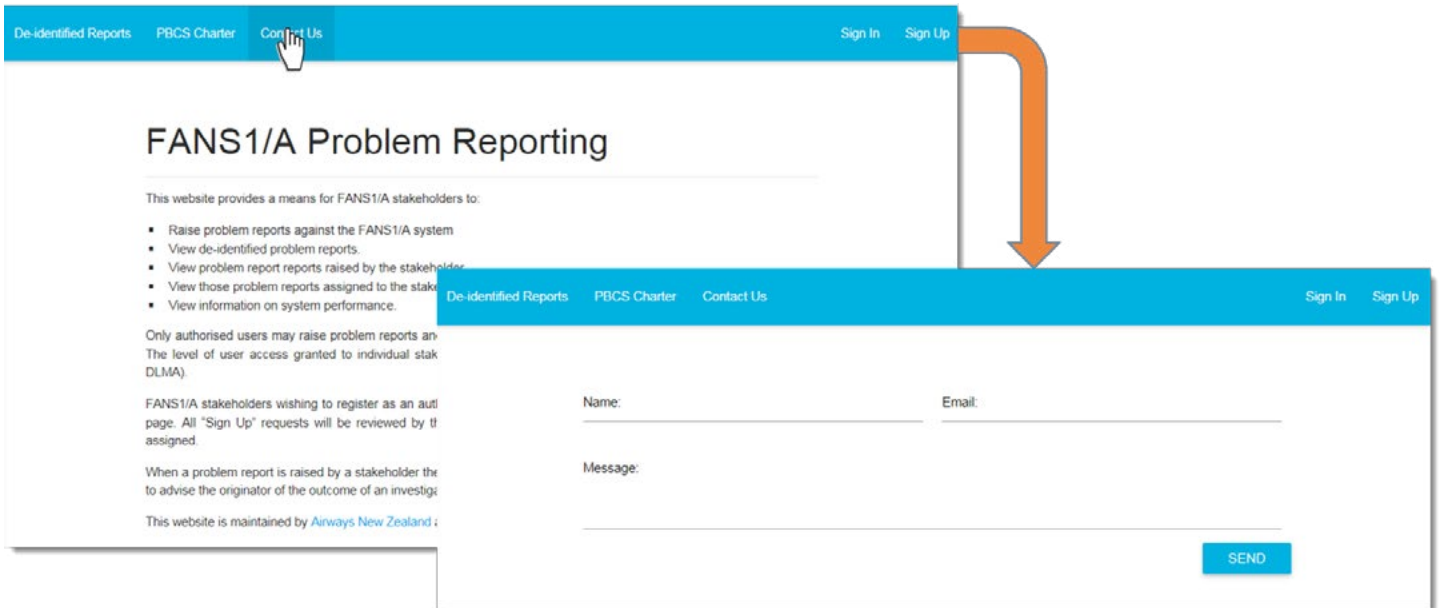
PBCS Charter Sign-In

The PBCS Charter link provides the sign-in screen for charter members.



Contact Us

A "Contact Us" function is provided for CRA/DLMA and website queries.





Sign-Up

The sign-up link allows stakeholders to request access to the website. Fill in the requested details and then click the SIGN UP button. This action will log the stakeholders information on the website and advise the CRA that a new user application has been received.

The CRA will process the user request, assign the new user the appropriate permissions, and advise the new user if their application has been successful.

Notes:

1. Display Name: Enter the name to be displayed on the “My Reports” page after logging in.
2. Additional Emails: List all other emails that are to be used in automatic email notifications from the website for this log-on.
3. Username/Password: Enter the username and password that you want to use on this site
4. Email: List your primary email contact
5. Organization: Enter your company name.
6. Location: Enter city and country.

The screenshot shows the 'FANS1/A Problem Reporting' website. The top navigation bar includes links for 'De-identified Reports', 'PBCS Charter', 'Contact Us', 'Sign In', and 'Sign Up'. A hand cursor is shown clicking the 'Sign Up' link, with an orange arrow pointing down to the sign-up form. The form contains the following fields:

- Username: _____
- Password: _____
- First Name: _____
- Last Name: _____
- Email for CRA communications: _____
- Email for CSP Outage Notifications(If Required): _____
- Display Name (If Applicable): _____
- Organisation: _____
- Location: _____
- Phone Number: _____
- Additional Emails (separate by semicolon or space): _____

A blue 'SIGN UP' button is located at the bottom right of the form.



Sign-In

Once you have signed-up for the website, use your username and password as shown below.

The first screenshot shows the 'FANS1/A Problem Reporting' page with a navigation bar containing 'De-identified Reports', 'PBCS Charter', 'Contact Us', 'Sign In', and 'Sign Up'. An orange arrow points from the 'Sign In' link to the second screenshot.

The second screenshot shows the login form with the following fields and elements:

- Username: Your Username
- Password: [masked]
- SIGN IN button

An orange arrow points from the 'SIGN IN' button to the third screenshot.

The third screenshot shows the 'MY REPORTS' page with a table of reports logged by the user:

| ID | Reference | Title | Date | Status |
|--------------|-----------|--|------------|--------|
| ZOA-2019-001 | 2548-SH | No data in the Predicted Route Group for the entire flight | 15.11.2017 | Active |
| ZOA-2017-006 | 2514-SH | Delayed ADG-C reports and MAS Failures observed with multiple aircraft | 20.09.2017 | Active |
| ZOA-2017-005 | 2513-SH | Unexpected WLCO | 12.10.2017 | Active |



New Report

After signing-in, the first link in the header is “Report”. Selecting Report gives two options: New Report and My Reports. Below displays the form for filing a new report.

The screenshot shows a web application interface for filing a new report. The top navigation bar is blue and contains links for 'Report', 'De-identified Reports', 'Performance Data', 'PBCS Charter', 'Contact Us', 'Manual', and 'FAA (United States)'. A dropdown menu is open under 'Report', showing 'New Report' and 'My Reports'. An orange arrow points from the 'New Report' link to the form below.

The form is titled 'MY REPORTS' and includes a search bar. The form fields are:

- Originator's Reference Number: _____
- Title: _____
- Date UTC (YYYY-MM-DD): 2018-01-16
- Time UTC: _____
- Registration: _____
- Flight Identifier: _____
- Departure and Arrival Airports: _____
- Aircraft Type: _____
- Active Center: _____
- Next Center: _____
- Position: _____

On the left side of the form, there is a table titled 'Reports Logged by Me':

| ID | Reference | Title |
|--------------|-----------|--------------|
| ZOA-2018-001 | 2548-SH | No da |
| ZOA-2017-006 | 2514-SH | Delay aircra |



My Reports

The My Reports page opens by default on logging in and displays four sections:

- “Reports Pending CRA action”;
- “Reports Logged by Me”;
- “Reports Assigned to me”; and
- “Closed Reports relating to Me”.

A DOWNLOAD button associated with each section allows the user to download the reports in an EXCEL format.

The screenshot shows the FAA My Reports interface. On the left is a sidebar with a 'My Reports' button highlighted by a hand icon. The main content area features a search bar and a table titled 'Reports Logged by Me'. A blue 'DOWNLOAD' button is positioned above the table. An orange arrow points from the top navigation bar to the table area.

| ID | Reference | Title | Date | Status |
|--------------|-----------|--|------------|--------|
| ZOA-2018-001 | 2548-SH | No data in the Predicted Route Group for the entire flight | 15.11.2017 | Active |
| ZOA-2017-006 | 2514-SH | Delayed ADS-C reports and MAS Failures observed with multiple aircraft | 20.09.2017 | Active |
| ZOA-2017-005 | 2513-SH | Unexpected WILCO | 12.10.2017 | Active |
| ZNY2017-002 | 2475-MM | Aircraft Unable to Establish Connection to KZWY or LPPO | 13.07.2017 | Active |
| ZOA-2017-004 | 2470-SH | ADS-C position reports received with a Figure of Merit Value of 0 | 05.08.2017 | Active |

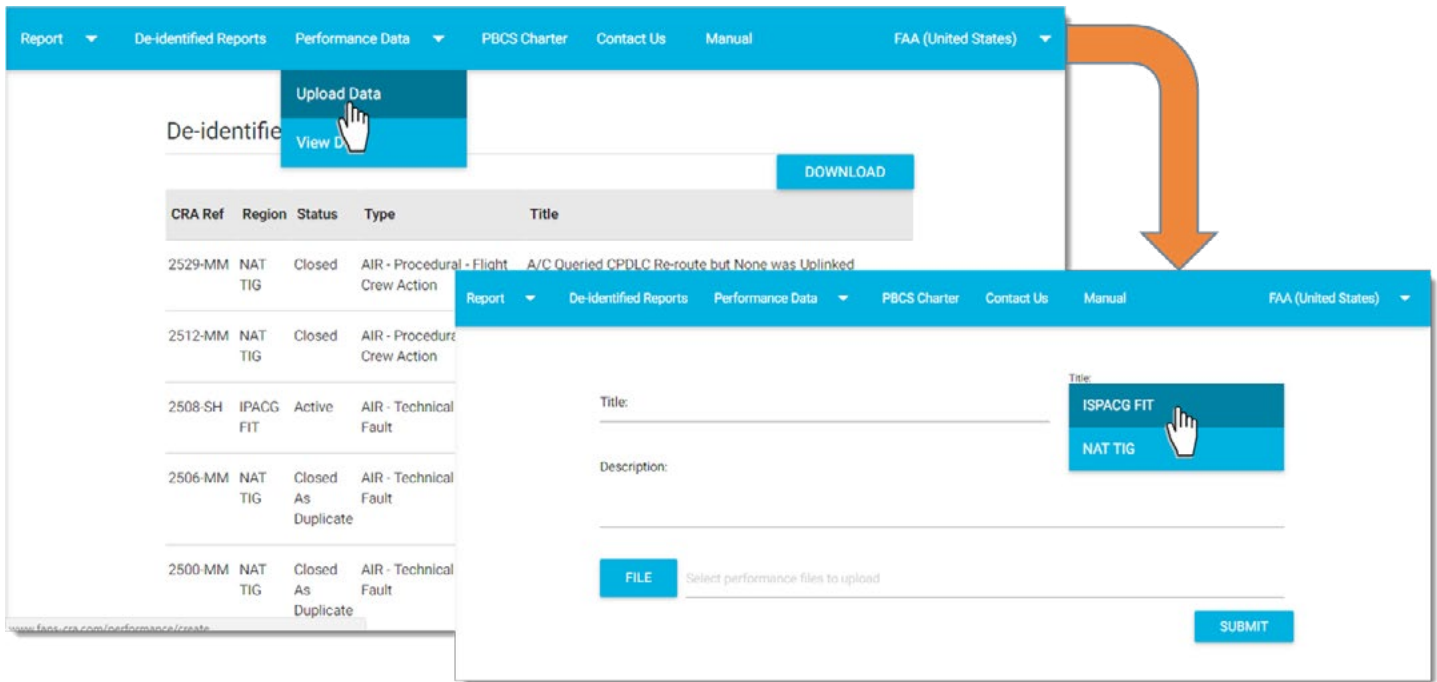


Upload Data

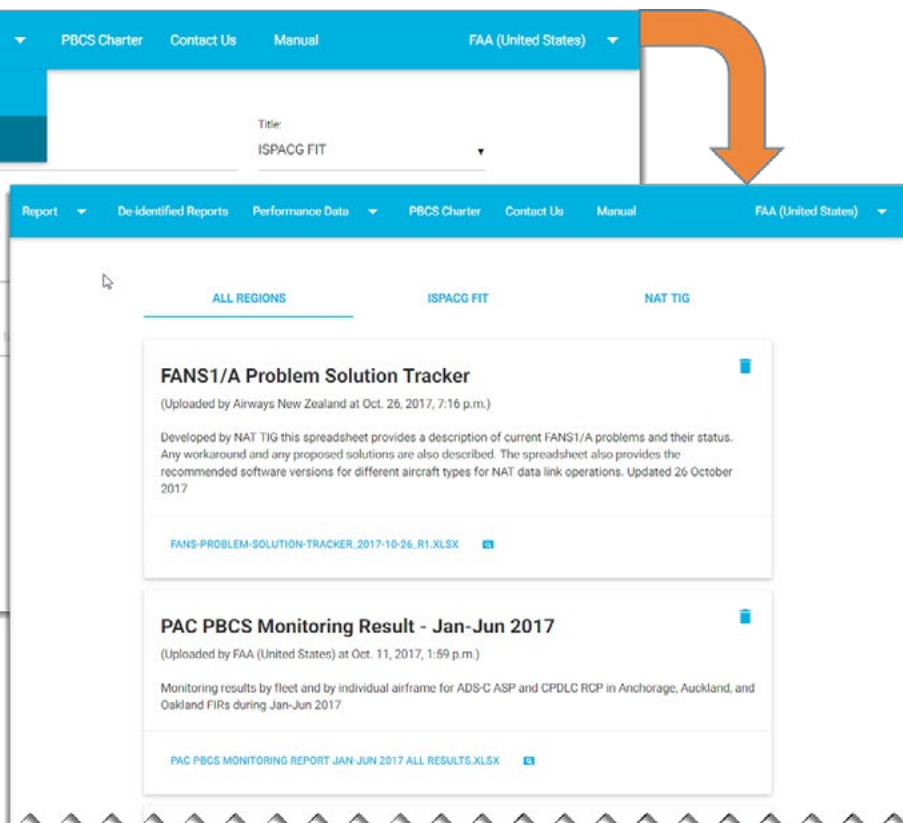
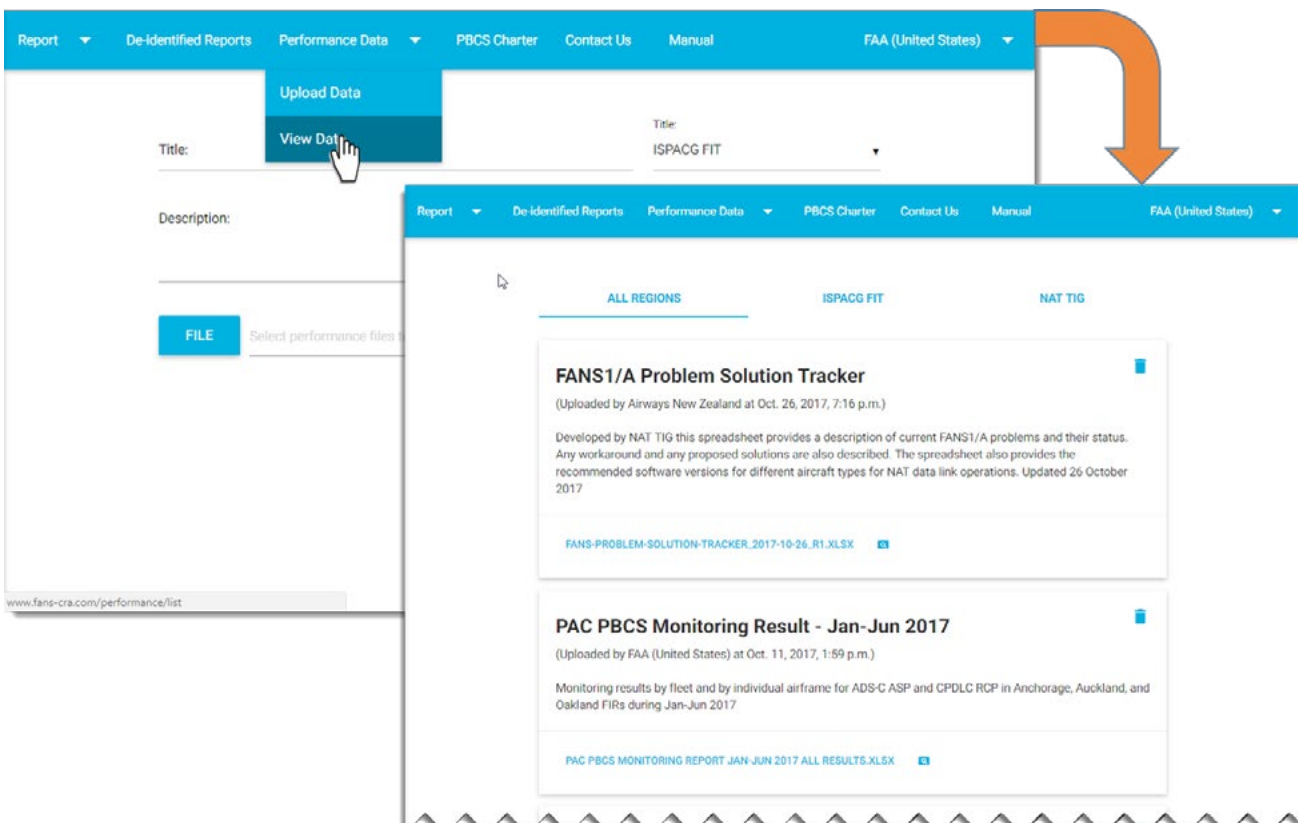
The “Performance Data” option in the my reports header provides a means to display FANS1/A performance data. Selecting performance data gives the following options:

Upload Data: Only displayed if user has required permissions.

View Data: Displays any performance data that has been uploaded (See image below).



View Data





PBCS Charter

Under PBCS Charter, stakeholders can view the charter on-line as shown below by clicking on the various charter sections or by means of downloading the charter by clicking the “DOWNLOAD PDF” button.

The screenshot shows the top navigation bar with 'PBCS Charter' selected. Below the navigation, there are tabs for 'PBCS CHARTER', 'CHARTER STAKEHOLDERS', and 'YOUR CHARTER STATUS'. The main content area displays the title 'Performance-Based Communication and Surveillance (PBCS) Global Charter' and a 'DOWNLOAD PDF' button. A table titled 'Reports Logged by Me' is visible on the left side.

| ID | Reference | Title |
|--------------|-----------|-----------------------------------|
| ZOA-2018-001 | 2548-SH | No data in the Predicted R |
| ZOA-2017-006 | 2514-SH | Delayed ADS-C reports an aircraft |
| ZOA-2017-005 | 2513-SH | Unexpected WILCO |

View PBCS Charter

This screenshot provides a closer view of the PBCS Charter content. The '1 Charter Purpose and Applicability' section is highlighted, and the 'DOWNLOAD PDF' button is also highlighted. The content includes a list of entities eligible to become a PBCS Charter stakeholder.

1 Charter Purpose and Applicability

1.1 This PBCS Charter facilitates co-operation among all PBCS stakeholders to achieve the objectives of PBCS. Each Charter stakeholder agrees to take the actions herein for which the required communication performance (RCP) and required surveillance performance (RSP) specifications have been prescribed.

The entities eligible to become a PBCS Charter stakeholder include:

- ANSPs using PBCS to support ATM operations in their airspace
- Aircraft operators participating in PBCS operations.
- Communication service providers (CSPs).
- Satellite service providers (SSPs).
- Aircraft manufacturers.
- Aircraft equipment suppliers.

1.2 This Charter may be used to show ANSP and operator stakeholder compliance to PBCS Manual guidance specifying contract/service agreements with the CSP. This commitment to compliance is shown when the ANSP or operator PBCS stakeholder has become a charter stakeholder through signing this charter and the CSP(s) they use have also signed the charter. Should an ANSP or operator PBCS stakeholder subsequently withdraw their charter signature or any of their contracted CSPs withdraw their charter signature, the ANSP and/or operator must notify their state authority since such withdrawal will affect their PBCS operational authorization.

2 References

2.1 ICAO Doc 9869, Performance-Based Communication and Surveillance (PBCS) Manual.



Becoming a Charter Member

Clicking “CHARTER STAKEHOLDERS” results in a list of current charter members. If you are not a charter member, click “YOUR CHARTER STATUS” and enter your name, email, and check the box that shows your username and then click “UPDATE”. When providing documentation of charter membership, provide a screen shot of the charter stakeholders with your name included within the screen capture.

The screenshot shows the PBCS Charter website interface. The top navigation bar includes 'Report', 'De-identified Reports', 'Performance Data', 'PBCS Charter', 'Contact Us', 'Manual', and 'FAA (United States)'. The main content area has three tabs: 'PBCS CHARTER', 'CHARTER STAKEHOLDERS', and 'YOUR CHARTER STATUS'. The 'CHARTER STAKEHOLDERS' tab is highlighted with a red box and a hand cursor. Below the tabs, the 'PBCS CHARTER' section is active, displaying a table of stakeholders with checkboxes for selection. The 'YOUR CHARTER STATUS' tab is also highlighted with a red box and a hand cursor. An orange arrow points from the 'YOUR CHARTER STATUS' tab to a detailed view of the form. The form is titled 'PBCS Charter - Point of Contact' and includes a 'Your Username' checkbox, 'Name:' and 'Email:' input fields, and an 'UPDATE' button. Instructions at the bottom of the form explain how to add or remove organizations from the stakeholder list.

1 Charter Purpose and Applicability

2 References

3 Term

4 Confidentiality

5 No Basis for Claims

6 Support of PBCS by Stakeholders

7 Administration of Charter

Aircraft Manufacturers and Aircraft Equipment Suppliers

- Airbus
- Boeing

Communication Service Provider

- Rockwell Collins IMS (ARINC)
- SITAONAIR

ANSP and CAA

- Airways New Zealand
- Isavia (Iceland)

Aircraft Operator

PBCS Charter - Point of Contact

Your Username

Name: _____

Email: _____

To indicate acceptance of charter and add your organisation to the list of charter stakeholders select the tick box above and then select update.

To remove yourself from the list of charter stakeholders deselect the tick box and then select update.

UPDATE



Appendix C: Definitions and Acronyms

Definitions

A

Aircraft Communications Addressing and Reporting System (ACARS). ACARS is a digital datalink system for transmission of short messages between aircraft and ground stations via airband radio or satellite. ACARS as a term refers to the complete air and ground system, consisting of a service provider and aircraft/ground equipment.

Automatic Dependent Surveillance-Contract (ADS-C). ADS-C is a surveillance information system using automated reports. An agreement is established between the ground system and the aircraft via a data link. Without pilot input, the ATSU can establish a “contract” to provide reports of aircraft position, altitude, speed, elements of navigational intent and meteorological data. The system can generate the following types of reports:

- Periodic—The ATSU can set or alter the update rate as needed (a higher update rate is usually required in high traffic areas).
- Event—A change in vertical rate, lateral deviation or altitude automatically triggers a report.
- Demand—An ATSU can request an update as needed, and this does not affect an existing contract preset rate.

Aeronautical Telecommunication Network (ATN). A global internetwork architecture that allows ground, air-ground, and avionic data subnetworks to exchange digital data for the safety of air navigation and for the regular, efficient, and economic operation of air traffic services.

C

Controller-Pilot Data Link Communications (CPDLC). CPDLC is a two-way data-link communication system by which controllers can transmit digital text messages to an aircraft as an alternative to voice communications. Messages from an aircraft to the ATSU may follow a standard format or may be free-text. Messages from a controller normally follow a standard format and usually requiring a response from the flight crew.

CSP Integrity. The CSP must pass messages without manipulating the information that is protected by error detection codes that are used by the aircraft system and the ATSU. In particular, the CSP must not reconstitute or regenerate any of the error detection codes.

F

Future Air Navigation System (FANS). FANS is an avionics system which provides direct data link communication between the pilot and the air traffic controller. The communications include air traffic control clearances, pilot requests and position reporting.

R

Required Communication Performance (RCP). A set of requirements for air traffic service provision, aircraft



capability, and operations needed to support performance-based communication within a defined airspace.

Required Surveillance Performance (RSP). A statement of the performance requirements for operational surveillance in support of specific ATM functions.

Acronyms

| Acronym | Meaning |
|---------|---|
| ACARS | Aircraft Communications Addressing and Reporting System |
| ADS-C | Automatic Dependent Surveillance-Contract |
| AIM | Aeronautical Information Manual |
| AFM | Airplane Flight Manual |
| ATN | Aeronautical Telecommunication Network |
| ATS | Air Traffic Service |
| ATSU | Air Traffic Service Unit |
| CPDLC | Controller-Pilot Data Link Communication |
| CRA | Central Reporting Agency |
| CSP | Communication Service Provider |
| CVDFR | Cockpit Voice and Flight Data Recorder |
| CVR | Cockpit Voice Recorder |
| DLMA | Data Link Monitoring Agency |
| EFB | Electronic Flight Bag |
| FANS | Future Air Navigation System |
| HF | High Frequency |
| HFDL | High Frequency Data Link |
| ICAO | International Civil Aviation Organization |
| INTEROP | Interoperability Requirements Standards |
| LOA | Letter of Authorization |
| MEL | Minimum Equipment List |
| MMEL | Master Minimum Equipment List |
| MSpec | Management Specification |
| OEM | Original Equipment Manufacturer. |
| OpSpec | Operation Specification |
| PAI | Principal Avionics Inspector |
| PBCS | Performance-based Communication and Surveillance |
| PI | Principal Inspector |
| POI | Principal Avionics Inspector |
| PMI | Principal Maintenance Inspector |
| RCP | Required Communication Performance |
| RSP | Required Surveillance Performance |
| SATCOM | Satellite Communication |
| SBD | Short Burst Data |
| SELCAL | Selective-Calling Radio System |

A P P E N D I X C



| Acronym | Meaning |
|---------|----------------------------|
| SOC | Statement of Compliance |
| SSP | Satellite Service Provider |
| VDL | VHF Data Link |
| VHF | Very High Frequency |